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(71) Applicant (for all designated States except US): **ARI-
ZONA BOARD OF REGENTS** [US/US]; P.O. Box
879209, Tempe, AZ 85287-9209 (US).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **JACOBS, Bertram**
[US/US]; 1004 S. Wilson Street, Tempe, AZ 85281 (US).

(74) Agents: **BUTTER, Gary, M.** et al.; Baker Botts L.L.P., 30
Rockefeller Plaza, New York, NY 10112-4498 (US).

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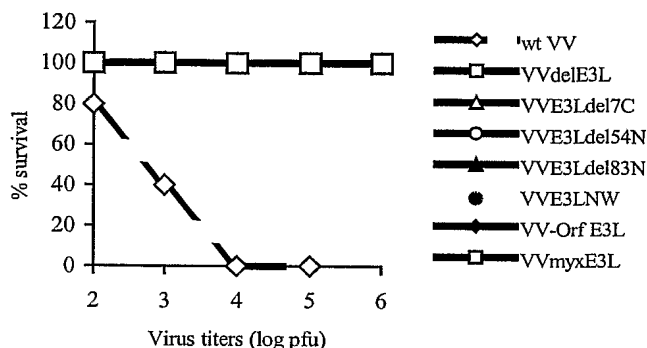
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ning of each regular issue of the PCT Gazette.

(54) Title: **MUTANTS OF REPLICATION COMPETENT VACCINIA VIRUS**



(57) **Abstract:** The present invention relates to
vaccines having an increased level of safety comprising
recombinant vaccinia viruses. The invention also
relates to methods for stimulating a protective immune
response in an immunized host using the vaccines of
the invention. The vaccines and recombinant vaccinia
viruses of the invention comprise a first nucleic acid
comprising and expression control sequence and a
second nucleic acid comprising and exogenous nucleic
acid encoding a conditional replication gene product,
wherein the expression control sequence is operably
linked to the exogenous nucleic acid. The exogenous
nucleic acid may, by its expression or non-expression,
confer upon the recombinant vaccinia virus either
sensitivity or dependence upon an exogenous molecule

(e.g. a drug) or a condition. Importantly, to allow the recombinant vaccinia viruses of the invention to replicate normally under
permissive conditions, the exogenous nucleic acid is inserted into a non-essential locus (e.g. the E2L/E3L inter-genic locus,
K1L/K2L inter-genic locus, the superoxide dismutase locus, and the 7.5K locus.

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MUTANTS OF REPLICATION COMPETENT VACCINIA VIRUS

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SPECIFICATION

This application claims priority to U.S. Provisional Patent application No. 60/445,758 filed February 7, 2003.

FIELD OF THE INVENTION

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The present invention relates to the field of improved vaccines against smallpox, particularly vaccines comprising vaccinia virus mutants that may be more safely administered to immune-competent and immune-compromised subjects.

BACKGROUND OF THE INVENTION

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There is a possibility, hopefully remote, that smallpox, and other pathogens will be used as bioterrorist weapons (19). Vaccines offer the best protection against these threats. Presently, however, conventional smallpox vaccines may be lethal for rare healthy individuals, and for immunosuppressed vaccinees, or contacts (14). It is the aim of this proposal to develop smallpox vaccines which are safe and efficacious for use in all individuals, especially the immunosuppressed. If this technology proves effective then in the future it will be possible to utilize recombinant DNA technology to introduce genes coding for other potential bioterrorism agents to permit simultaneous immunization against multiple targets.

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Vaccinia virus (VV), the agent used in the smallpox vaccine, is one of the most effective vaccines ever used, having eliminated smallpox globally at less than 10 cents/dose. No cold chain is needed, and protection lasts for at least 10 years, and probably much longer (14). Nonetheless, there is a great need to develop safer strains of VV that are still effective in protecting against smallpox. Smallpox vaccine can cause serious complications in vaccinated individuals (20). Serious central nervous system abnormalities (encephalomyelitis and encephalopathy) occur in up to

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1/20,000-1/100,000 otherwise healthy vaccinated individuals, with a case-fatality rate of 25-50% (14). Progressive vaccinia can occur in immune compromised individuals, including individuals with leukemia, Hodgkin's disease, lymphoma, HIV-infection and organ transplant recipients. Due to the high prevalence of HIV infection in many parts of the world the risk of transmitting vaccinia to these subjects is considerable, and would have disastrous effects. Progressive vaccinia, when it occurs, is almost uniformly fatal, despite treatment with vaccinia-immune globulin. Vaccinated individuals who have eczema may develop eczema vaccinatum (approximately 1/100,000 vaccinees), which has about a 5% mortality rate. The current smallpox vaccine is contra-indicated in pregnant women and children under one year of age (14). Since the vaccine is a live virus vaccine, complications can occur in non-vaccinated individuals who come in contact with recent vaccinees. Overall reportable complications occur in 1/1,000-1/10,000 vaccinees, with at least one death per million vaccines likely, with the strains of VV currently available for use (14).

Development of a safer smallpox vaccine is a tremendous challenge since the correlates of protection against smallpox are unclear, and it is impossible to test for protection against smallpox in humans, the natural host for variola, the smallpox virus. Furthermore, the relevance of animal models for protection of humans against smallpox is unclear. Thus, strains that are as closely related to the currently accepted vaccine strain, Dryvax, that are as immunogenic as Dryvax, but that are safer than Dryvax would have great potential as candidate next generation vaccines.

There are several candidate second generation vaccines. MVA was developed by multiple passage in chick embryo fibroblasts (41). MVA has lost the ability to replicate in most mammalian cells. While MVA is extremely safe for use in humans, its efficacy is unclear. It is unlikely that MVA, which is non-replicating, will be useful by scarification, the normal route for immunization with VV (13). Again, since the correlates of immunity for protection against smallpox are unknown, it will be difficult to determine if MVA can provide a strong enough and broad enough immune response to protect against smallpox. This is especially an issue with MVA since numerous genes have been interrupted in selection for MVA (5), and it is unclear if the gene products encoded by these interrupted genes might be required for development of an immune response that is protective against variola.

LC16m8 is a variant of VV-Lister strain that has a small plaque, temperature sensitive phenotype (42-44). This virus also displays reduced neurovirulence in mice. The small plaque phenotype has been mapped to a gene in the HinDIII D fragment. The temperature sensitive and decreased virulence phenotypes
5 map to an as yet unknown mutation. Again, while this virus is likely safer than wtVV for use in humans its efficacy is unclear. Since the LC16m8 strain does not produce extracellular enveloped virions, which may be necessary for induction of a protective immune response (39), the efficacy of this strain is also questionable (11).

Several mutations in the VV E3L gene have been prepared (37). These
10 mutations decrease neurovirulence by 3 to over 5 logs, compared to wtVV (9). Several of these viruses are avirulent after intra-nasal infection of SCID mice ($LD_{50} > 10^6$ pfu, compared to an LD_{50} of 10^2 pfu for wild type VV, unpublished observations). Despite their decreased virulence these viruses form pocks after vaccination of mice by scarification and protect against challenge with wtVV
15 (unpublished observations). However, again, since the relevance of animal models to protection against smallpox in humans is unclear, the efficacy of virus strains containing these mutations is unclear.

An alternative strategy for development of safer, effective vaccines against smallpox is to engineer conditional mutants of VV. Under permissive
20 conditions these viruses would be indistinguishable from wild type, and thus, should produce an equivalent immune response. Under restrictive conditions these viruses would not replicate and thus would be avirulent.

Numerous conditional mutants of VV have been described. Esteban has expressed the cellular anti-viral enzyme PKR from an IPTG-inducible promoter
25 (22). In the absence of IPTG this virus is wild type in cells in culture, but induction with IPTG interrupts virus replication (IPTG-sensitive virus). Traktman has placed the A14 gene (a VV gene that is essential for replication) under control of a tet-inducible promoter, in which the repressor falls off in the presence of tetracycline/doxycycline (45). This virus required tetracycline for replication in cells
30 in culture (tet-dependent virus). Metzger et al. (25) have shown that expression of the human cytomegalovirus (HCMV) UL97 gene in VV induces sensitivity to ganciclovir in cells in culture. This virus should be sensitive to the orally available drug,

valganciclovir. In a similar manner expression of the herpes simplex virus-thymidine kinase gene (HSV TK) should induce sensitivity to the orally available drug, acyclovir. Acyclovir has the added advantage of causing very few side-effects compared to ganciclovir (and presumably valganciclovir). Condit has shown that virus containing mutations in G2R are dependent on the drug IBT for replication (6, 12). No animal studies have been reported for any of the conditional lethal mutant viruses described above. Finally, a strain of VV that is exquisitely sensitive to treatment with IFN has been prepared (wtVV is IFN-resistant) (unpublished observations). This virus induces a protective immune response in immunized mice.

SUMMARY OF THE INVENTION

Replication competent vaccinia virus (VV), the current vaccine for smallpox, can cause severe complications after vaccination, especially in immune suppressed individuals (14). The present invention provides a means for inducing a protective immune response under permissive conditions while providing a means for rendering the virus incapable of replication under non-permissive conditions. The present invention provides conditional mutants of VV that may be either drug-dependent or drug-sensitive. For example, for drug-sensitive viruses, a drug to which the vaccine virus is sensitive may be administered to vaccinated individuals who experience complications. Alternatively, for drug-dependent viruses, vaccinated individuals would receive a maintenance dose of the drug on which the virus is dependent. The drug-dependent virus may be rendered incapable of continued replication in individuals who experience complications simply by withdrawing administration of the maintenance drug.

Drug-dependent viruses have the added advantage that they would not be able to spread in a viable form from vaccinated individuals to contacts. The relative immunogenicity and safety of these two strategies may be compared with that of a current vaccine (Dryvax) in immunocompetent mice (immunogenicity and safety), and in immunodeficient SCID mice (safety only). In some preferred embodiments of the invention, strains of the invention may be engineered into a virus background suitable for use in humans, prepared under good manufacturing protocol

(GMP) conditions and tested in chimpanzees and humans for safety and immunogenicity, compared to Dryvax.

The present invention provides a recombinant vaccinia virus comprising:

- 5 a first recombinant nucleic acid comprising a first expression control sequence and a conditional replication nucleic acid encoding a conditional replication gene product wherein the first expression control sequence is operably linked to the conditional replication nucleic acid encoding a conditional replication gene product; and
- 10 a second recombinant nucleic acid comprising a second expression control sequence and a nucleic acid encoding a transcription factor wherein the second expression control sequence is operably linked to the nucleic acid encoding a transcription factor,
- 15 wherein the transcription factor conditionally binds to the first expression control sequence and the second recombinant nucleic acid is in (a) the E2L/E3L inter-genic locus, (b) the K1L/K2L inter-genic locus, (c) the superoxide dismutase locus, (d) the 7.5K locus, or (e) any other non-essential region of the vaccinia viral genome. The first expression control sequence may comprise a tet response element and the transcription factor is selected
- 20 from the group consisting of a tet repressor and a reverse tet repressor. Alternatively, the first expression control sequence may comprise a lac operator and the transcription factor may be a lac repressor.

- In addition, the first expression control sequence may be a viral early/late promoter and the conditional replication nucleic acid may be an A14 gene.
- 25 The first expression control sequence may also be a viral late promoter in which case the conditional replication nucleic acid may be a suicide gene selected from the group consisting of a constitutively-active cellular anti-viral human protein kinase p68 gene, an RNase A, a DNase I, an interferon-inducible nitric oxide synthase (iNOS), an eIF2 α (S51D), an anti-sense A14R gene, a constitutively active caspase 3, and

interferon- γ . Where the first recombinant nucleic acid comprises a suicide or other exogenous gene, it may be independently in (a) the E2L/E3L inter-genic locus, (b) the K1L/K2L inter-genic locus, (c) the superoxide dismutase locus, (d) the 7.5K locus, or (e) any other non-essential region of the vaccinia viral genome. The invention additionally provides a vaccine against smallpox or vaccinia virus comprising this recombinant vaccinia virus.

The invention also provides a recombinant vaccinia virus comprising: a first nucleic acid comprising an expression control sequence and an exogenous nucleic acid encoding a conditional replication gene product,

wherein the expression control sequence is operably linked to the exogenous nucleic acid and the first nucleic acid is in (a) the E2L/E3L inter-genic locus, (b) the K1L/K2L inter-genic locus, (c) the superoxide dismutase locus, (d) the 7.5K locus, or (e) any other non-essential region of the vaccinia viral genome. In some preferred embodiments, the expression control sequence is a constitutive promoter and the exogenous nucleic acid is selected from the group consisting of a UL97 gene and an acyclovir-sensitivity gene. The invention additionally provides a vaccine against smallpox or vaccinia virus comprising this recombinant vaccinia virus.

In some embodiments of the invention, expression of the conditional replication nucleic acid renders the virus either dependent on, or sensitive to, a particular material or a particular condition. The conditional replication nucleic acid encodes a conditional replication gene product. In some embodiments of the invention, the recombinant vaccinia virus may have a deletion in the E3L gene.

The invention also provides a vaccine against smallpox and/or vaccinia virus comprising the foregoing recombinant vaccinia virus. The invention further provides methods of eliciting a protective immune response to smallpox virus and/or vaccinia virus comprising administering to an individual a recombinant vaccinia virus of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention is also illustrated, but without limitation, by the following figures:

Figure 1: Survival of C57Bl6 mice following intranasal infection with vaccinia virus. Groups of 5 C57BL/6 mice were infected with different doses of wild type VV and the 5 mutant VV, by intranasal route. There was 100% survival of mice infected with the highest dose (10^6) of the mutant viruses while wild type VV had an LD₅₀ of approximately 10^3 pfu. The mutant VV constructs were over 1000 fold less pathogenic than wild type VV.

Figure 2: Tissue distribution of virus. Groups of 3 C57BL/6 mice were infected with 10^6 plaque forming units of wild type VV and 5 mutant VV constructs by the intranasal route. Tissues were harvested, processed and titrated in RK-13 cell line. The figure represents the average titer per gram of tissue of the 3 mice infected with each virus. Wild type VV was detected in the nasal turbinates, lungs and brain by 5 days post infection. The VV mutants were detected in the nasal turbinates but they did not spread to the lung and brain. 4 of the 5 VV mutants replicated to high titers in the nose following infection.

Figure 3: Survival of mice following intracranial infection with the various recombinant VV. Groups of 5 C57 BL/6 mice were infected with different doses of wild type VV and 5 different mutants of VV, by intra cranial injection. The infected mice were observed for 2 weeks following infection and all mortalities were recorded. Mutants were from 3 logs to greater than 5 logs less neurovirulent than wtVV.

Figure 4: Pathogenicity in SCID mice. Groups of SCID mice were infected intra-nasally (I.N.) with the indicated dose of virus and monitored for mortality for two weeks. Three of the viruses were apathogenic in SCID mice.

Figure 5: Protection against challenge with wtVV. Groups of four week old C57Bl6 female mice were immunized I.N. with the indicated dose of virus or were mock immunized. Four weeks later animals were either mock challenged, or were challenged with 10^6 pfu of VV-WR and monitored for ten days for weight loss.

Figure 6: Interferon-sensitivity of VVΔE3L-ATV-IHD. Monolayers of RK-13 cells were pre-treated with the indicated concentration of IFN β and then infected with approximately 100 pfu of the indicated viruses. Plaques were counted after 48 hours. wtVV is completely IFN-resistant, while the mutant virus forms
5 plaques in the absence of IFN, but not the presence of IFN.

Figure 7: HCV C-NS3 specific cellular immune responses induced by different priming/boosting regimes of recombinant vaccinia and canarypox vectors.

Figure 8: Immune response to vaccinia 2 weeks post challenge in
chimp 157.

10 Figure 9: HCV-specific responses in chimpanzees.

Figure 10. Schematic representation of construction of pMPE3LEx-*tetR*.

DETAILED DESCRIPTION OF THE INVENTION

15 The present invention provides vaccines against smallpox and vaccinia virus comprising a recombinant vaccinia virus. A “recombinant vaccinia virus” of the invention comprises a first nucleic acid comprising an expression control sequence, and a second nucleic acid comprising an exogenous nucleic acid encoding a conditional replication gene product that renders the vaccinia virus either drug-sensitive or drug-
20 dependent, wherein the expression control sequence is operably linked to the exogenous nucleic acid.

According to the invention “gene product” refers to the biochemical material(s) that result(s) from expression of a gene. It includes without limitation both nucleic acids (*e.g.* mRNA, rRNA, tRNA, and RNAi) and peptides (*e.g.* short
25 peptides, polypeptides, and proteins). Protein gene products include without limitation preproteins, proproteins, and mature proteins.

According to the invention, “conditional replication gene product” refers to a gene product upon which continued existence of the vaccinia virus in the mammalian host environment depends. This term also refers to a gene product to
30 which continued existence of the vaccinia virus is sensitive. Viral existence may depend on, for example, replication of the viral genome, packaging of the viral

genome, and expression of viral genes. In addition, viral existence may depend on exposure and/or susceptibility of viral nucleic acids to host nucleases. Viral existence may further depend on viability of the host cell. Exogenous genes encoding conditional replication gene products of the invention are located, not in the host
5 genome, but in the viral genome. The exogenous gene may be, in its entirety, from one or more non-vaccinia virus sources. Alternatively, it may be a recombinant version of a gene native to vaccinia virus (*e.g.* A14).

In some embodiments of the invention, the recombinant vaccinia virus is dependent on expression of an exogenous gene (*i.e.* formation of the conditional
10 replication gene product). In other embodiments, the recombinant vaccinia virus is sensitive to the expression of the exogenous gene such that virus and/or host cell are killed upon expression of the exogenous gene (*e.g.* a suicide gene).

In presently preferred embodiments of the invention, dependence on or sensitivity to the conditional replication gene product may depend on the presence of
15 an exogenous factor. Thus, continued existence of the cell may depend on the presence of the conditional replication gene product and a drug, such that upon withdrawal of the drug, the conditional replication gene product is either no longer functional or no longer produced. Alternatively, continued existence of the cell may be sensitive to the presence of a drug in combination with the conditional replication
20 gene product such that either one alone is harmless, but the combination terminates viral replication and/or kills the host cell.

Conditional replication gene products of the invention may affect viral existence positively or negatively. In the former case, the conditional replication gene product may support the continued existence of the virus so long as the requisite
25 condition is met (*e.g.* adequate amount of a drug or nutrient). In the absence of the requisite condition, the gene product is not produced in a functional form or is rendered non functional such that viral replication is terminated.

In the latter case, the gene product terminates or facilitates termination of the virus. In preferred embodiments of the invention, the conditional existence
30 gene is regulated by an expression control sequence such that its expression is inducible (*e.g.* expression is induced by the presence of a drug).

Expression control sequences of the invention include, without limitation, promoters, enhancers, transcription binding sites, and terminators. Expression control sequences of the invention may comprise vaccinia viral early/late promoters, viral late promoters, tet response elements, lac operators, and other
5 inducible and constitutive promoters.

Nucleic acids encoding conditional replication gene products of the invention include, without limitation, essential viral genes, suicide genes, drug-sensitivity genes, and cytotoxic genes. A nonlimiting example of an essential viral gene is the A14 gene. Nonlimiting examples of viral suicide genes include a
10 constitutively-active cellular anti-viral human protein kinase p68 (PKR) gene (56), an RNase A gene, a DNase I gene, an interferon-inducible nitric oxide synthase gene (iNOS), an eIF2 α (S51D) gene (this is essentially a dominant negative inhibitor)(57), an anti-sense construct of an essential gene (such as A14R), a constitutively active caspase 3 gene (58), and an interferon- γ gene. Nonlimiting examples of drug-
15 sensitivity genes include the UL97 gene of HCMV (ganciclovir/valganciclovir sensitivity) and the HSV acyclovir-sensitivity gene (HSV TK).

The present invention utilizes known inducible transcription systems including the tet repressor system and the lac repressor system. While variants of the tet repressor system may be used in the practice of the invention, the appended non-
20 limiting examples refer to the classical system in which the repressor protein is bound to the tet response element in the absence of tetracycline, thereby repressing transcription of the subject gene. Conversely, when drug is present, the tet repressor protein binds to the drug, not the tet repressor element, thereby allowing removing the impediment to transcription. These inducible transcription systems of the invention
25 may use transcription factors including transcription activators and transcription repressors. Nonlimiting examples of transcription repressors of the invention include the tet repressor, the reverse tet repressor, and the lac repressor. The mutated or "reverse" *tetR* gene binds to the Tet response element and suppresses transcription in the presence of doxycycline (27).

30 The lac repressor has been successfully used in mammals (59-61). As with the tet repressor system, the lac repressor system and its variants may be used in the practice of the instant invention.

In some embodiments of the invention, the recombinant vaccinia virus may lack a portion of the E3L gene. In particular, the invention provides a recombinant vaccinia virus in which a portion of the E3L gene is replaced with the eukaryotic initiation factor 2 α gene (eIF2 α) of *Ambystoma tigrinum* virus (ATV).

5 These recombinant viruses may be interferon sensitive, but possess a broad host range, thus partially rescuing the phenotype of VV deleted for E3L gene. According to some nonlimiting examples of the invention, replacing the E3L gene of VV with the eIF2 α homolog partially restored the wild type phenotype to the recombinant virus. The E3L gene of VV provides IFN resistance, a wide host range phenotype and
10 inhibits apoptosis (Kibler *et al.*, 1997, *J Virol* **71**(3):1992-2003; Shors *et al.*, 1997, *Virology* **239**(2):269-76). It also functions as an inhibitor of PKR (Chang *et al.*, 1992, *Proc Natl Acad Sci U S A* **89**(11):4825-9; Romano *et al.*, 1998, *Mol Cell Biol* **18**(12):7304-16), OAS (Rivas *et al.*, 1998, *Virology* **243**(2):406-14) and IRF-3 phosphorylation (Smith *et al.*, 2001, *J Biol Chem* **276**(12):8951-7). Thus, these
15 recombinant viruses may resemble the wtVV in having a broad host range and in inhibiting PKR activity. At the same time these recombinant viruses may also resemble VV Δ E3L in being IFN sensitive and leading to OAS activity and IRF-3 translocation to the nucleus. However, E3L-deleted viruses, though capable of replication, may not replicate to the same levels as wild-type virus.

20 Recombinant vaccinia viruses of the present invention may be constructed by methods known in the art, and preferably by homologous recombination. Standard homologous recombination techniques utilize transfection with DNA fragments or plasmids containing sequences homologous to viral DNA, and infection with wild-type or recombinant vaccinia virus, to achieve recombination
25 in infected cells. Conventional marker rescue techniques may be used to identify recombinant vaccinia virus. Representative methods for production of recombinant vaccinia virus by homologous recombination are disclosed by Piccini *et al.*, 1987, *Methods in Enzymology* 153:545.

Vaccinia virus used for preparing the recombinant vaccinia virus of the
30 invention may be a naturally occurring or engineered strain. Strains useful as human and veterinary vaccines are particularly preferred and are well-known and commercially available. Such strains include Wyeth, Lister, WR, and engineered

deletion mutants of Copenhagen such as those disclosed in U.S. Patent 5,762,938. Recombination plasmids may be made by standard methods known in the art. The nucleic acid sequences of the vaccinia virus known in the art, and may be found for example, in Earl et al., 1993, in *Genetic Maps: locus maps of complex genomes*,
5 O'Brien, ed., Cold Spring Harbor Laboratory Press, 1.157 and Goebel et al., 1990, *supra*. The vaccinia virus used for recombination may contain other deletions, inactivations, or exogenous DNA.

Following infection and transfection, recombinants can be identified by selection for the presence or absence of markers on the vaccinia virus and plasmid.
10 Recombinant vaccinia virus may be extracted from the host cells by standard methods, for example by rounds of freezing and thawing.

The present invention provides conditional mutants of VV and methods of preparing such conditional mutants. In some embodiments of the invention, these mutants may be tested for pathogenesis under permissive and
15 restrictive conditions, and for their ability to induce a protective immune response against a wtVV challenge, compared to Dryvax. Since under permissive conditions these viruses should be equivalent to a wtVV, they are expected to demonstrate equivalent efficacy and immunogenicity as compared to Dryvax. This is expected to make testing for efficacy more straightforward than for any other candidate vaccines.
20 For drug dependent viruses, should complications arise, the drug could be removed, yielding viruses that no longer replicate. These drug dependent viruses also should be apathogenic if accidentally spread to susceptible individuals. For drug sensitive viruses, treatment with the FDA-approved drug (*i.e.*, tetracycline/doxycycline, acyclovir, ganciclovir, valganciclovir or IFN) should decrease symptoms in
25 individuals diagnosed with complications.

According to the invention, it may be possible to produce vaccinia virus strains that are sensitive to any molecule based on the expression or non-expression of an exogenous gene. In some preferred embodiments, the molecule selected is suitable for administration to humans and other subjects. The molecule
30 may be of any size, structure, charge, and pI, and may be hydrophobic, hydrophilic, or amphipathic. The molecule may comprise amino acids, lipids, nucleic acids, sugars and other carbohydrates. In some preferred embodiments, the molecule is a drug.

Nonlimiting examples of presently preferred drugs to which vaccinia virus strains may be rendered sensitive include doxycycline, acyclovir, ganciclovir/valganciclovir, interferon, and IPTG.

According to the invention, it may be possible to produce vaccinia virus strains that are dependent on any molecule. In some preferred embodiments, the molecule selected is suitable for administration to humans and other subjects. The molecule may be of any size, structure, charge, and pI, and may be hydrophobic, hydrophilic, or amphipathic. The molecule may comprise amino acids, lipids, nucleic acids, sugars and other carbohydrates. In some preferred embodiments, the molecule is a drug. Nonlimiting examples of presently preferred drugs to which vaccinia virus strains may be rendered dependent include doxycycline and IPTG.

According to the invention, a tetracycline analog includes without limitation tetracycline, doxycycline, and minocycline. The invention further contemplates the use of other modified forms of tetracycline that are capable of binding the either wild-type of modified forms of the tet repressor.

In some embodiments, the invention provides an assay for pathogenicity in immunocompetent and SCID mice or other mammals, and immunogenicity and protective efficacy of engineered viruses in an immunocompetent mammal under treated and untreated conditions.

The present invention provides methods for the preparation of a GMP batch of the vaccinia virus strains of the invention. According to some preferred embodiments of the invention, safety and immunogenicity may be tested in chimpanzees or in other primates or other mammals.

The present invention further provides vaccines for providing immunological protection against vaccinia virus or variola virus, wherein said vaccines comprise a recombinant vaccinia viral vector and a carrier. The term carrier as used herein includes any and all solvents, diluents, dispersion media, antibacterial and antifungal agents, microcapsules, liposomes, cationic lipid carriers, isotonic and absorption delaying agents, and the like. Suitable carriers are known to those of skill in the art. The vaccine compositions of the invention can be prepared in liquid forms, lyophilized forms or aerosolized forms. Other optional components, e.g., stabilizers, buffers, preservatives, flavorings, excipients and the like, can be added. In addition,

adjuvants may be used to boost or augment immune responses. Optionally, the vaccine may be formulated to contain other active ingredients and/or immunizing antigens.

Also included in the invention is a method of vaccinating a host, including but not limited to mammals such as humans, against vaccinia virus infection and/or variola virus infection with the novel vaccine compositions of the invention. The vaccine compositions, including one or more of the recombinant vaccinia viruses described herein, are administered using routes typically used for immunization, *i.e.*, subcutaneous, oral, or nasal administration, in a suitable dose. The dosage regimen involved in the method for vaccination, including the timing, number and amounts of booster vaccines, may be determined considering various hosts and environmental factors, *e.g.*, the age of the patients, time of administration and the geographical location and environment. In addition the present invention includes methods and compositions for stimulating in an individual an immune response.

The present invention contemplates phase I and phase II clinical trials in immunocompetent and immunosuppressed (*e.g.* HIV related) subjects with recombinant vaccinia virus strains of the invention.

EXAMPLES

This invention will be better understood from the following examples. However, one skilled in the art will readily appreciate the specific materials and results described are merely illustrative of, and are not intended to, nor should be intended to, limit the invention as described more fully in the claims which follows thereafter.

25

EXAMPLE 1. ENGINEERING OF VACCINIA VIRUS CONTAINING MUTATIONS IN THE E3L GENE

Over 50 strains of VV containing mutations in the E3L gene have been prepared. Mutations are generally prepared by transient dominant selection, using the *ecogpt* gene as the transient selectable marker. Plasmid containing mutations in the E3L gene are used to perform homologous recombination with viruses containing an

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E3L gene replaced by *lacZ*, transiently selecting for resistance to mycophenolic acid (selection for *ecogpt*), and then screening for loss of staining with X-gal (replacement of *lacZ* by the gene of interest). Alternatively, virus that has incorporated a wild type E3L gene can be selected for by growth on Vero or MRC-5 cells (virus deleted for
 5 E3L does not replicate in Vero or MRC-5 cells). All viruses are assayed by PCR for the correct insertion, for the correct phenotype in cells in culture and by sequence analysis of the inserted gene.

EXAMPLE 2. SAFETY

10 The present inventor has previously tested strains of VV for safety and efficacy in mouse model systems. Most of this work has been done with viruses containing mutations in the VV E3L gene and demonstrates an ability to engineer numerous strains of VV and to test them for safety, in both immune competent and immune deficient mice, and for efficacy as vaccines in a mouse challenge model.

15 Although a subset of the examples relate to viruses containing mutations in the E3L gene, the Examples are included to illustrate the materials and methods that may be used to generate and assay the activity of recombinant viruses of the invention.

Four mouse models have been used to assay for safety of engineered
 20 strains of VV: intra-nasal (I.N.) and intra-cranial (I.C.) infection of C57Bl6 mice, intra-nasal infection of Balb/c mice and intra-nasal infection of SCID mice. The LD₅₀ of wtVV-WR in these models is as follows:

Type of infection	LD ₅₀ (pfu)
wtVV-WR/intra-nasal/C57Bl6	10 ⁴
wtVV-WR/intra-cranial/C57Bl6	10-10 ²
wtVV-WR/intra-nasal/Balb/c	10 ³
wtVV-WR/intra-nasal/SCID	10

The I.N. models (Figs. 1, 2) have the advantage over the I.C. models
 25 (Fig. 3) in that they require spread from the site of infection (Fig. 2) to get morbidity or mortality. Thus, they mimic natural disease or complications more closely than I.C. infection. Balb/c mice are less immune competent than C57Bl6 mice, and thus the assay is more sensitive in Balb/c mice. Infection of SCID mice allows analysis in a

state of immune deficiency. I.C infection is much more sensitive than I.N. infection, such that viruses that are apathogenic by the I.N. route, show varying degrees of pathogenicity by the I.C. route. I.N. infection of SCID mice is amongst the most sensitive assays performed. Some mutant viruses that are highly attenuated in immune competent mice are still relatively pathogenic in SCID mice. This suggests that safety must be tested in several animal models.

EXAMPLE 3. VACCINE EFFICACY

The C57Bl6 and Balb/c challenge models have been used to test for efficacy of putative vaccines. The Balb/c model has the advantage that wtVV-WR causes mortality after I.N. infection in 8 week old mice, while in the C57Bl6 model, mice get sick and loose weight but do not die. Groups of four week old mice are vaccinated either I.N. or by scarification, and monitored for symptoms of the vaccine (weight loss, morbidity; formation of skin lesions for scarification). After four weeks, mice are challenged I.N. with a large dose (10^6 pfu) of wtVV-WR and monitored for weight loss, morbidity and death (Balb/c). Vaccination afforded a dose dependent protection against weight loss induced by the wtVV challenge and afforded protection against death in Balb/c mice (data not shown). Protection was obtained with a lower dose of virus I.N. (10^3 pfu) than by scarification (10^6 pfu, data not shown)

EXAMPLE 4. INTERFERON-SENSITIVE VACCINIA VIRUS

VV is amongst the most IFN-resistant viruses known. VV is also a poor inducer of IFN. A variant of VV that is replication competent, but that both induces high concentrations of IFN and is highly sensitive to IFN has been engineered. This virus has the VV IFN-resistance gene replaced by a putative inhibitor of host defenses (IHD) from the salamander virus ATV. The ATV IHD acts to inhibit one arm of the mammalian IFN system, the PKR pathway, but does not inhibit the other arms of the IFN pathway and does not inhibit induction of IFN (data not shown). This virus replicates to nearly wild type titers in the absence of IFN (Fig. 6), but is exquisitely sensitive to treatment with IFN (Fig. 6). Supernatant from cells infected with this virus inhibited replication of VSV. This inhibitory activity could be

inactivated with anti-serum to IFN β (data not shown), indicating that this virus is a potent inducer of IFN. This virus induced an immune response that was protective against a wtVV challenge (data not shown).

5 **EXAMPLE 5. ADVANTAGE OF REPLICATING VS NON-REPLICATING VV FOR IMMUNOGENICITY**

The immunogenicity of replicating and non-replicating poxviruses when used as boosters after DNA based priming has been compared. As shown in Table 1, replicating VV provided approximately a 10 fold stronger cell mediated
10 immune response when tested 4 weeks after boosting, and a 30 fold enhancement when tested 6 months after boosting. Thus replicating pox viruses are not only more immunogenic, but also produce better long term immunological memory.

**Table 1. HCV Cap-NS3 specific IFN γ secreting cells in different DNA
15 prime/boost regimens**

ISC/10⁶ spenocytes (direct - ex
vivo)

Immunization	4 weeks	24 weeks
DNA/DNA w/o boost	410 +/- 8	0 +/- 0
DNA/DNA/DNA	785 +/-12	61 +/- 11
DNA/DNA + r-canarypox boost	3946 +/- 853	2125 +/- 12
DNA/DNA + r-VV boost	41000 +/- 212	78000 +/- 212

Also, the efficacy of priming with different doses of HCV recombinant
20 canarypox or vaccinia followed by boosting with these viruses has been investigated. Canarypox prime-canarypox boost gave relatively weak responses, however canarypox priming followed by vaccinia boosting gave very strong responses, averaging 7-8000 IFN secreting cells/10⁶ PBMC. Similar levels of response were seen with vaccinia prime-vaccinia boost regimens. Optimal results were obtained with low
25 dose (10² pfu) priming. The results are shown on Figure 7.

EXAMPLE 6. CELLULAR IMMUNE RESPONSE TO VACCINIA VIRUS IN CHIMPANZEE

5 The immune response of chimpanzee immunized with vaccinia vector
2 weeks post challenge was measured using IFN- γ ELISPOT assay. As shown in Fig
8, the number of Vaccinia-specific interferon- γ secreting cells (ISCs) was
dramatically increased 2 weeks post immunization.

EXAMPLE 7. PROPHYLACTIC IMMUNIZATION WITH RECOMBINANT VACCINIA VIRUSES IN CHIMPANZEES

10 A trial of prophylactic immunization in 4 chimpanzees using a single
dose of HCV, HBV, and HIV recombinant VVs has been initiated. Results obtained
so far have revealed a superior cell mediated immune response to the transgene
encoded antigen as shown in Figure 9.

15

EXAMPLE 8. VIRUS CONSTRUCTION

Several conditional mutants of VV may be prepared and tested in
mouse models for safety, immunogenicity and efficacy. VV strains may then be
engineered into a background appropriate for use in humans
20 (Wyeth/NYCBOH/Acambis 2000), prepared under GMP conditions and tested for
safety and immunogenicity in chimpanzees and humans. Four strains of VV may be
prepared initially: a tet-dependent strain, a tet-sensitive strain, a
ganciclovir/valganciclovir-sensitive strain and an acyclovir-sensitive strain. In
addition, an IFN-sensitive virus may also undergo testing in mice. It should be noted
25 that it may be possible to incorporate several of these safety features into a single
virus, perhaps creating a vaccine strain that could be treated with either tetracycline,
ganciclovir, acyclovir, or IFN, or any combination of these drugs. Furthermore, these
strains may provide the basis for highly immunogenic but safe vectors for vaccinating
against numerous agents, including other agents of bioterrorism.

30 Viruses may be initially prepared in a wtVV-WR background as VV-
WR is the most appropriate strain to test for relative safety of mutant viruses. There
are numerous model systems available for safety testing VV-WR mutants. The

disadvantage of the WR strain is that it is not appropriate for use in humans. Thus, VV strains may be constructed in a Wyeth/NYCBOH/Acambis 2000 background.

EXAMPLE 9. VIRUS CONSTRUCTION: TETRACYCLINE-DEPENDENT VIRUS

5

Traktman et al., described a tet-dependent VV that is TK- (45). However, since it is imperative for the constructs of the present invention to retain the ability to replicate normally under permissive conditions, the tet-repressor gene (*tetR*) is preferably inserted into a non-essential locus of VV. This is not trivial since all of the insertion sites so far described for VV affect either virus replication or pathogenesis. Initially, *tetR* may be cloned downstream from the E3L ORF. *tetR* may also be cloned into a locus shown not to be necessary for pathogenesis in mice.

10

In addition, a tet-responsive element may be inserted between the transcription and translation start sites of the A14 gene of VV-WR, which has been shown to be essential to vaccinia virus morphogenesis (45).

15

The tet-repressor may be inserted into an inter-genic site between the E2L and E3L genes. There are 140 bps of DNA between the end of the E3L ORF and the beginning of the E2L ORF. Since the E2L promoter is likely within 50 bps of the beginning of the E2L ORF, it is unlikely that insertion of genes immediately downstream of E3L will have any effect on either E3L or E2L gene expression. A cassette containing a synthetic VV early/late promoter, and the *tetR* gene followed by a VV transcription termination signal may be cloned into the unique restriction sites downstream of the E3L locus in the VV insertional plasmids pMPE3L to create pMPE3LEx-*tetR* (see Fig. 10). pMPE3L contains an E3L gene, flanked by unique cloning sites and by E3L right and left flanking arms for site specific recombination into the E3L locus of VV. The plasmid also contains an *E. coli gpt* gene for selection of viruses that have acquired the entire plasmid by a single homologous recombination event (*ecogpt* codes for resistance to mycophenolic acid). pMPE3LEx-*tetR* may be inserted into the E3L locus of VVΔE3L-lacZ (VV in which the E3L gene has been replaced by a *lacZ* gene) by homologous recombination. This may be accomplished by transfecting plasmid into CEF cells that have been infected with VVΔE3L-lacZ. Virus that has taken up plasmid by a single homologous

20

25

30

recombination event may be selected by testing for resistance to mycophenolic acid and for replication in Vero cells (VVΔE3L does not replicate in Vero cells). Intra-molecular homologous recombination may be used to remove unwanted vector sequences and *lacZ* after removal of mycophenolic acid selection. Viruses that have
5 resolved plasmid to replace *lacZ* with E3L and *tetR* may be identified by loss of staining with X-gal on Vero cells. Correct construction may be confirmed by PCR, Southern blot analysis and sequence analysis of the E3L locus. Expression of the E2L and E3L genes may be quantitated by Northern blot analysis. Dependence on tetracycline for replication in cells in culture may be assayed as previously described.
10 Pathogenicity of this virus in the presence of doxycycline may be determined as described below.

The *tetR* gene may also be cloned into the SOD locus (A45R) of VV. The SOD locus has been shown not to be needed for replication in cells in culture or for pathogenesis in the mouse model (4). Thus cloning into the SOD locus is unlikely
15 to have an effect on replication or spread in animals. A new insertional plasmid, which allows homologous recombination into the SOD locus in a manner similar to that described for the E3L locus, has been generated. Left and right SOD flanking arms have been inserted into the multiple cloning site of pBluescript. A cassette consisting of a VV synthetic early/late promoter, a *gus* gene (cleaves the chromogenic
20 substrate X-glucuronic acid), a second synthetic early/late promoter and the *tetR* gene, may be inserted between the SOD left and right arms. After transfection/infection with this plasmid and wtVV, recombinants may be detected by staining with X-glucuronic acid. After three rounds of plaque purification of *gus* containing virus, virus that has resolved *gus* may be purified by selecting for clear plaques in the
25 presence of X-glucuronic acid. Correct construction may be confirmed by PCR, Southern blot analysis and sequence analysis of the SOD locus. Phenotypic analysis may be performed as described above.

This tet-dependent system may be rendered tet-sensitive by simply substituting a reverse *tetR* gene for the *tetR* gene. The reverse tet repressor, as the
30 name suggests, behaves a manner opposite from the tet repressor such that in the presence of tetracycline the repressor binds the TRE. Thus, in the absence of tetracycline or a suitable analog, the reverse repressor is not bound to the TRE thereby

allowing A14 to be expressed. However, in the presence of tetracycline or a suitable analog, the reverse tet repressor binds TRE, which blocks expression of A14 and kills the virus.

5 **EXAMPLE 10. VIRUS CONSTRUCTION: DRUG-SENSITIVE VIRUSES**

The tet-dependent virus described in Example 9 should not be able to spread from vaccinated individuals to contacts and should complications arise, tetracycline can be withdrawn as a treatment. The disadvantage to a tet-dependent virus is that tetracycline must be given to all vaccinees. This could potentially be
10 problematic for individuals with allergies to tetracycline. As an alternative several drug-sensitive viruses may be developed. Drug-sensitive viruses have the advantage that only patients who show signs of complications would be treated with the drug. Several different drug-sensitive viruses may be developed to determine which strategy yields the virus with the most desirable characteristics, *i.e.*, wild type in the absence of
15 drug, but with the best treatment profile. This may also have the advantage that in the future a multi-drug-sensitive virus could be developed, if necessary. It should be noted that an IFN-sensitive virus (VVΔE3L-ATV-IHD) has already been developed (55).

20 **EXAMPLE 11. VIRUS CONSTRUCTION: DRUG-SENSITIVE VIRUSES: TET-SENSITIVE VIRUS**

Tet-sensitive VV may be prepared in a similar manner as described for a tet-dependent virus, except that the *tetR* gene may be a reverse tet repressor, a mutated version that binds to the tet response element (TRE) and suppresses
25 transcription in the presence of doxycycline (27).

A virus that expresses a suicide gene from a tet-regulated promoter may also be prepared. Esteban et al. have shown that expression of the cellular anti-viral protein PKR (interferon-induced human protein kinase p68) from a IPTG-inducible promoter in VV yields a virus that is isopropyl-β-D-thiogalactoside (IPTG)
30 sensitive (IPTG induces expression of PKR which blocks virus replication) (22).

By contrast, the invention provides a virus in which expression of PKR under a tet-inducible promoter may yield a tet-sensitive virus. To prepare a virus with a tet-inducible PKR, the gene encoding PKR may be inserted into a non-essential locus under control of a TRE in a virus that contains the *tetR* (e.g. vaccinia virus
5 constitutive promoter driving expression of *tetR*). Thus, in the absence of tetracycline or a suitable analog, the tet repressor binds to the TRE preventing expression of PKR. Accordingly, the virus is able to replicate in the host cell. However, upon exposure to tetracycline or a suitable analog, the tet repressor is not bound to the TRE allowing expression of PKR, which in turn kills the virus.

10 The *tetR* gene (tet-off) may be inserted in the E2L/E3L inter-genic locus. PKR may either be expressed from the 7.5K locus or the SOD locus. The SOD locus has not been found to be essential either in cells in culture or for pathogenesis in the mouse intra-nasal model. Thus, one would not expect insertion of PKR into the SOD locus to affect the ability of this virus to act as an immunogen.
15 The 7.5K locus in VV-WR is a deleted version of the 35K locus present in Lister, but not WR, Wyeth or Tian Tian (28). Thus, the 7.5K locus in WR and Wyeth is likely not functional. Insertional vectors may be prepared with the PKR gene under control of a tet-inducible late promoter flanked by either SOD arms or 7.5K arms. Plasmids may contain *gus* as a transient dominant selectable marker, as described above. PKR
20 may be inserted into virus by homologous recombination. Recombinant virus may be analyzed for replication in cells in culture in the presence and absence of doxycycline. Virus may be analyzed for pathogenesis in mice using the models described in a subsequent section, and may be analyzed for the ability to induce a protective immune response in mice.

25 This tet-sensitive system may be rendered tet-dependent by simply substituting a reverse *tetR* gene for the *tetR* gene. Thus, in the presence of tetracycline or a suitable analog, the reverse tet repressor binds TRE, blocking expression of PKR. In the absence of tetracycline or a suitable analog, the reverse repressor is not bound to the TRE thereby allowing PKR to be expressed.

**EXAMPLE 12. VIRUS CONSTRUCTION: DRUG-SENSITIVE VIRUSES:
ACYCLOVIR, GANCICLOVIR/VALGANCICLOVIR SENSITIVE VIRUSES**

Alternatively, the UL97 gene of HCMV (kindly provided by Adam
5 Geballe, University of Washington) may be cloned into the site between E2L and E3L
as described above. Virus may be characterized by PCR, Southern blot analysis and
sequencing of the E2L/E3L loci, and by assaying for replication in cells in culture and
pathogenicity and the ability to induce a protective immune response in mice in the
absence of drug. Assays for sensitivity to ganciclovir in cells in culture may be
10 performed as described (25). Sensitivity to ganciclovir and valganciclovir treatment in
animals is described in Example 14.

Since ganciclovir and presumably valganciclovir both cause side-
effects in some patients, a virus that expresses the HSV acyclovir-sensitivity gene
(HSV TK) may also be prepared. The HSV TK gene may be cloned into the E2L/E3L
15 inter-genic locus to prepare a virus potentially sensitive to acyclovir. While the
literature has reported that the HSV TK gene has been inserted into VV, there do not
seem to be references describing the acyclovir sensitivity of VV expressing the HSV
TK. However, VV expressing the HSV TK can phosphorylate 5-iodo-2'-deoxy-
cytidine, a specific substrate for the HSV TK (29), and thus the HSV TK is likely
20 active in VV. Virus may be assayed for replication in cells in culture and
pathogenicity and the ability to induce a protective immune response in mice in the
absence of drug. Virus may be assayed for sensitivity to acyclovir in a manner similar
to assaying for ganciclovir sensitivity.

Both HSV and CMV are relatively GC rich (68% and 57% GC,
25 respectively), while VV is AT rich (33% GC). If the high GC content of the CMV
and HSV genes proves problematic (*i.e.*, if virus expressing UL97 or TK is not wild
type in cells in culture or in animals), AT rich versions of these genes may be
prepared. Genes may be synthesized from overlapping oligonucleotides substituting
most prominent codons utilized by VV for the UL97 or HSV TK codons. Synthetic
30 genes may be cloned into the insertion site between E2L and E3L. Resulting virus
may be screened for replication in cells in culture and pathogenicity and the ability to
induce a protective immune response in mice in the absence of drug. Assay for

sensitivity to ganciclovir or acyclovir in cells in culture may be performed as described (25).

5 **EXAMPLE 13. ANIMAL MODELS FOR TESTING OF WR STRAIN**
CONDITIONAL MUTANTS: PATHOGENICITY/TREATABILITY

All constructs may be tested for pathogenicity, treatability, immunogenicity and induction of a protective immune response in mouse model systems.

10 Viruses may be tested for pathogenicity and treatability in at least four mouse model systems: I.N. and I.C. infection of 4-6 week old C57Bl6 mice; and intra-dermal (I.D.) and I.N. infection of SCID mice. The WR strain of VV is neurotropic in C57Bl6 mice. In mice infected I.N. with $>10^4$ pfu, virus spreads from the nose to the brain and animals die of encephalitis. This model may mimic post-vaccinial encephalitis in humans. The I.C. model in C57Bl6 mice may allow for testing of drug
15 efficacy after the virus is in the CNS. This may be a model for treating patients who show signs of post-vaccinia encephalitis. The SCID mouse is a good model for testing pathogenicity and treatability in an immune compromised host.

For tet-dependent viruses, groups of C57Bl6 mice may be given doxycycline in their drinking water as previously described (33) (doxycycline is more
20 stable than tetracycline), at -3, -2, -1 or 0 days pre-infection (animals may be infected I.N. with 10^4 - 10^6 pfu; app. 1-100 LD₅₀). Mice may be maintained on inducer through-out the course of the 14 day experiment. Animals may be monitored for weight loss, signs of morbidity, and compared to infection with wtVV-WR. Animals demonstrating greater than a 30% decrease in weight loss may be euthanized. A dose
25 response experiment for treatment with inducer at day 0 may also be performed, using 0.1, 0.3, 1.0 and 3 mg/ml doxycycline. To test for the effect of removal of inducer, animals may be treated with the optimal concentration of inducer, infected and then inducer may be removed on day +1, +2, +4, +6 and +8.

The kinetics of spread of virus from the nose may be determined and
30 compared to wtVV-WR. Groups of animals may be treated with the optimal regimen of inducer and infected I.N. with virus. Pairs of animals may be sacrificed every other day through 8 days, and nasal turbinates, lung, spleen, liver, heart, stomach, intestine,

ovaries/testes and brain may be harvested. Virus may be released from tissue and titer on Vero cells in the presence of tetracycline. Spread may also be monitored by PCR for viral DNA.

Once the optimal regimen is determined in C57Bl6 mice, SCID mice
5 may be infected I.N. or I.D. with 100 LD₅₀ of virus in the presence of inducer. Inducer may either be maintained through-out the course of the experiment or removed on days +2, +4, +6 or +8. Animals may be monitored as described above.

For tet-sensitive virus, groups of 4-6 week-old C57Bl6 mice may be infected with tet-sensitive or wtVV-WR. Animals may be infected I.N. with 10⁴-10⁶
10 pfu or I.C. with 10-10³ pfu (1-100 expected LD₅₀ in each case). This range of doses may permit determination of whether this virus is wild type for pathogenesis in the absence of treatment. At +2, +4, +6 or +8 days post-infection animals may be treated with the optimal dose of doxycycline, orally in their drinking water, or left untreated. Animals may be monitored for disease as described above. Among other things this
15 may allow for assay of the efficiency with which encephalitis could be treated (both doxycycline and tetracycline pass the blood-brain barrier to some extent). Groups of SCID mice may be infected I.N. or I.D. with 1-100 LD₅₀ of virus (the I.N. LD₅₀ is expected to be 10 pfu in SCID mice; the I.D. LD₅₀ may be determined in preliminary experiments). Animals may be treated with doxycycline as described above, and
20 monitored for weight loss and morbidity.

Similar experiments as described for tet-sensitive viruses may be performed for acyclovir, ganciclovir/valganciclovir-sensitive and IFN-sensitive viruses.

25 **EXAMPLE 14. ANIMAL MODELS FOR TESTING OF WR STRAIN
CONDITIONAL MUTANTS: EFFICACY IN A MOUSE CHALLENGE
MODEL**

Viruses may be tested for efficacy in the Balb/c I.D. vaccination, I.N. and I.P. challenge models. The Balb/c I.N. challenge model is the only VV model for
30 which challenge is lethal in 8 week-old mice (older mice are necessary for vaccination/challenge models because vaccination is performed at 4 weeks and challenge is performed 4 weeks later). The I.P. model is very sensitive, in that small

amounts of virus spreading to the ovaries may replicate to high tiers. Animals may be vaccinated by scarification with 10^5 - 10^7 pfu of virus (wtVV-WR, tet-dependent VV-WR, tet-sensitive VV-WR, acyclovir-sensitive VV-WR, ganciclovir/valganciclovir-sensitive VV-WR, VV-WRΔE3L-ATV-IHD (IFN-sensitive, see Preliminary Studies);
5 10^6 pfu of wtVV-WR is required to protect mice from a wtVV challenge). For tet-dependent virus animals may be treated with the optimal regimen of inducer in their drinking water for two weeks. Animals may be monitored for weight loss and severity of pock at the site of infection (the base of the tail). Animals may be challenged I.N. at day +30 with 10^5 - 10^7 pfu of wtVV-WR (the I.N. LD₅₀ for 8 week-
10 old mice is $<10^5$ pfu). Animals may be monitored for 14 days for weight loss, morbidity and death.

For I.P. challenge, immunized and unimmunized control female mice may be challenged with 10^7 pfu VV-WR. After 5 days, their ovaries (where vaccinia replicates) are harvested, homogenized, and prepared for assay. Viral dilutions may be
15 made ranging from 10^{-1} through 10^{-10} and added to 5×10^5 BSC/40 cells in 6 well plates for 4 hours at 37°C. 10% MEM (2.0ml) is added back to wells and left at 37°C for 3 days. Wells are then stained with crystal violet and plaques scored.

20 *14.1. Evaluation of cellular immune response, and dose/schedule requirements, in mice.*

BALB/C mice may be inoculated with recombinant VV as described above. 3 mice may be sacrificed from each immunized group at 1, 2, 4 and 24 weeks post-vaccination. Spleens may be harvested and plasma and splenocytes may be cryopreserved. Assays for cell mediated and humoral immunity may be carried out as
25 described below for the chimpanzee study. A similar evaluation of immunogenicity may be done on mice receiving different doses of tetracycline to limit replication for different durations.

14.2. Evaluation of immune responses.

30 Drug requiring and drug sensitive vectors, and Dryvax as a control, may be evaluated for immunogenicity under conditions permitting viral replication for

varying periods of time *e.g.* 1-4 days. For each of the vectors, the minimal replication time which produces optimal humoral and cellular immune responses may be determined. This may have the dual advantage of limiting the rate of complications in immunized individuals and minimizing spread to contacts. Groups of 3 mice
5 immunized with different vectors under different drug regimens may be sacrificed 1, 2, 4, and 24 weeks after immunization. Spleens and plasma may be collected and splenocytes may be cryopreserved. Plasma may be assayed for anti-VV antibodies by Elisa, and by VV neutralization assays. Splenocytes may be evaluated for blastogenesis with VV proteins, interferon γ Elispot assays and CTL assays using VV
10 sensitized target cells, intracellular cytokine staining, and cytokine secretion profiles. In addition immunized mice may be evaluated in a mouse protection assay by inoculation of wild type VV i.p. followed by quantitation of virus in ovaries by plaque assay. Selected samples may also be evaluated for T cell avidity, as this has been shown to be a major determinant of protective efficacy. Experiments utilizing the
15 HLA 2.01 dependant VV epitope peptide (VP31#1, see below) for avidity determination may be carried out in A2kb/H-2b HLA 2.01 transgenic mice.

**EXAMPLE 15. ANIMAL MODELS FOR TESTING OF WR STRAIN
CONDITIONAL MUTANTS: REVERSION**

20 Reversion of viruses to drug-independence or drug-resistance is a common problem, even with markers that provide a selective advantage to the virus. Thus, conditional mutants should be evaluated to determine if reversion is likely to be a problem. Tet-dependent and drug-sensitive viruses may be passaged in SCID mice under permissive conditions. Virus may be harvested from lung, brain, spleen and
25 ovaries at various times post-infection. Virus may be titrated in Vero cells under permissive and restrictive conditions to determine the fraction of revertant viruses that have evolved during passage in SCID mice. If there is frequent, large scale breakthrough of drug-independent or drug-resistant virus, the VV strain may be engineered further to be conditional for multiple treatments (*i.e.*, tet-dependent,
30 acyclovir-, valganciclovir-, IFN-sensitive) to minimize breakthrough of wild type virus.

**EXAMPLE 16. ENGINEER THE STRAIN(S) INTO A BACKGROUND
SUITABLE FOR USE IN HUMANS: PREPARATION OF WYETH/NYCBOH
STRAINS**

Recombinant VV constructs demonstrating safety and efficacy may be
5 prepared in a Wyeth/NYCBOH background, using the techniques described above for
preparation of strains in a WR background.

Mutations may be made in a background virus selected from the group
consisting of a Wyeth/NYCBOH virus stock, a reconstituted Dryvax stock, a Dynport
vaccine, and Acambis 2000.

10 All stocks of Wyeth/NYCBOH may be maintained as a quasi-species.
Wyeth/NYCBOH is a mixture of viruses with different phenotypes, and it is presently
unclear which of the individual viruses would provide the optimal phenotype for a
vaccine. Thus, as complex a mixture of viruses as possible will always be
maintained. MOIs of at least 0.01 pfu/cell (greater than 10^4 pfu/plate) may be used
15 for growth of all stocks. For insertion of genes, entire plates of recombinant virus
grown under selective conditions may be used for isolation of recombinants. This
may ensure that hundreds of plaque variants are represented in the final recombinant
virus. Recombinant constructs may be compared to the parent stock of
Wyeth/NYCBOH by plaque morphology in cells in culture, and by restriction
20 mapping to ensure the recombinant is composed of a quasi-species similar to the
parental stock.

The sequence of the region surrounding E3L may be determined for
the Wyeth/NYCBOH quasi-species that will be used for virus construction. If there
are any differences between Wyeth and Copenhagen (the Copenhagen sequence was
25 used to prepare recombination arms for insertion of genes into the region between
E2L and E3L) then the corresponding changes will be made in pMPE3LE_x to prepare
a plasmid that will not introduce unwanted nucleotide changes into the
Wyeth/NYCBOH background. The E2L and E3L loci of all recombinant quasi-
species may be sequenced and compared to the parental stock.

30 All work with Wyeth/NYCBOH virus may be in either Vero cells or
MRC-5 cells freshly obtained from ATCC using medium containing fetal calf serum
from known, certified U.S. donor herds (kindly supplied by Aventis Pasteur). Work

may be performed in a BSL-2 facility used only for development of vaccine strains, under strict GLP conditions.

5 **EXAMPLE 17. MANUFACTURE OF RECOMBINANT VACCINIA VIRUS CONSTRUCTS**

In principle, the “Australian Code of GMP for Therapeutic Goods-Part II Sterile Products” is utilized as a main reference point for preparation of recombinant vaccinia virus for use in mammals and humans. However, there are aspects of GMP that are acknowledged as being different for Investigational
10 Medicinal Products. Therefore, Appendix G “Guidelines for GMP for Investigational Medicinal Products of the Australian cGMP” is also utilized. The text of this document is that the Annexure “Manufacture of the Investigational Medicinal Products” to the Guide to GMP of the Commission of the European Communities DG 111/C/3.

15

DOCUMENTS CITED

All sequences, patents, patent applications or other published documents cited anywhere in this specification are herein incorporated in their entirety by reference to the same extent as if each individual sequence, publication,
20 patent, patent application or other published document was specifically and individually indicated to be incorporated by reference.

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CLAIMS

[0001] What is claimed is:

1. A recombinant vaccinia virus comprising:

5 a first recombinant nucleic acid comprising a first expression control sequence and a nucleic acid encoding a conditional replication gene product wherein the first expression control sequence is operably linked to the nucleic acid encoding the conditional replication gene product; and

10 a second recombinant nucleic acid comprising a second expression control sequence and a nucleic acid encoding a transcription factor that conditionally binds the first expression control sequence wherein the second expression control sequence is operably linked to the nucleic acid encoding a transcription factor,

15 and wherein the second recombinant nucleic acid is in a non-essential region of the vaccinia virus genome.

2. The recombinant vaccinia virus of claim 1, wherein the first expression control sequence comprises a tet response element and the transcription factor is selected from the group consisting of a tet repressor and a reverse tet repressor.

20 3. The recombinant vaccinia virus of claim 1, wherein the transcription factor is a tet repressor.

4. The recombinant vaccinia virus of claim 1, wherein the transcription factor is a reverse tet repressor.

25 5. The recombinant vaccinia virus of claim 1, wherein the first expression control sequence comprises a lac operator and the transcription factor is a lac repressor.

6. The recombinant vaccinia virus of claim 1, wherein the non-essential region of the vaccinia virus genome is the E2L/E3L inter-genic locus.
7. The recombinant vaccinia virus of claim 1, wherein the non-essential region of the vaccinia virus genome is the K1L/K2L inter-genic locus.
- 5 8. The recombinant vaccinia virus of claim 1, wherein the non-essential region of the vaccinia virus genome is the superoxide dismutase locus.
9. The recombinant vaccinia virus of claim 1, wherein the non-essential region of the vaccinia virus genome is the 7.5K locus.
- 10 10. The recombinant vaccinia virus of claim 1, wherein the first expression control sequence is a viral early/late promoter and the nucleic acid encoding a conditional replication gene product is an A14 gene.
- 15 11. The recombinant vaccinia virus of claim 1, wherein the first expression control sequence is a viral late promoter and the nucleic acid encoding a conditional replication gene product is a suicide gene selected from the group consisting of a constitutively-active cellular anti-viral human protein kinase p68 gene, an RNase A, a DNase I, an interferon-inducible nitric oxide synthase (iNOS), an eIF2 α (S51D), an anti-sense A14R gene, a constitutively active caspase 3, and interferon- γ .
- 20 12. The recombinant vaccinia virus of claim 11, wherein the first recombinant nucleic acid is independently in (a) the E2L/E3L inter-genic locus, (b) the K1L/K2L inter-genic locus, (c) the superoxide dismutase locus, or (d) the 7.5K locus.
13. The recombinant vaccinia virus of claim 11, wherein the first recombinant nucleic acid is in the E2L/E3L inter-genic locus.
- 25 14. The recombinant vaccinia virus of claim 11, wherein the first recombinant nucleic acid is in the K1L/K2L inter-genic locus.

15. The recombinant vaccinia virus of claim 11, wherein the first recombinant nucleic acid is in the superoxide dismutase locus.
16. The recombinant vaccinia virus of claim 11, wherein the first recombinant nucleic acid is in the 7.5K locus.
- 5 17. A recombinant vaccinia virus comprising:
 - a nucleic acid comprising an expression control sequence and an exogenous nucleic acid encoding a conditional replication gene product,
 - 10 wherein the expression control sequence is operably linked to the exogenous nucleic acid and wherein the nucleic acid is in a non-essential region of the vaccinia virus genome.
18. The recombinant vaccinia virus of claim 17, wherein the expression control sequence is a constitutive promoter and the exogenous nucleic acid is selected from the group consisting of a UL97 gene and an acyclovir-sensitivity gene.
- 15 19. The recombinant vaccinia virus of claim 18, wherein the non-essential region of the vaccinia virus genome is the E2L/E3L inter-genic locus.
20. The recombinant vaccinia virus of claim 18, wherein the non-essential region of the vaccinia virus genome is the K1L/K2L inter-genic locus.
- 20 21. The recombinant vaccinia virus of claim 18, wherein the non-essential region of the vaccinia virus genome is the superoxide dismutase locus.
22. The recombinant vaccinia virus of claim 18, wherein the non-essential region of the vaccinia virus genome is the 7.5K locus.
23. The recombinant vaccinia virus of claim 18, wherein the exogenous nucleic acid is a UL97 gene.

24. The recombinant vaccinia virus of claim 18, wherein the exogenous nucleic acid is an acyclovir-sensitivity gene.

25. A vaccine against smallpox or vaccinia virus comprising a recombinant vaccinia virus according to claim 1 or claim 18.

5 26. A recombinant vaccinia virus comprising:

a first nucleic acid comprising a vaccinia virus early/late promoter and a *tetR* gene, wherein the vaccinia virus early/late promoter is operably linked to the *tetR* gene; and

10 a second nucleic acid comprising a recombinant A14 gene and a tet response element, wherein the tet response element is operably positioned between the A14 transcriptional start site and the A14 translational start site,

wherein the first nucleic acid is in a non-essential region of the vaccinia virus genome.

15 27. A method of vaccinating an individual against smallpox and vaccinia virus comprising:

administering to an individual the recombinant vaccinia virus of claim 26 in an amount sufficient to elicit an immune response; and

20 administering a derepressing amount of a drug selected from the group consisting of tetracycline, doxycycline, and minocycline.

28. The method of claim 27, wherein the individual is immunosuppressed.

29. A recombinant vaccinia virus comprising:

25 a first nucleic acid comprising a vaccinia virus early/late promoter and a reverse *tetR* gene, wherein the vaccinia virus early/late promoter is operably linked to the reverse *tetR* gene; and

a second nucleic acid comprising a recombinant A14 gene and a tet response element, wherein the tet response element is operably positioned between the A14 transcriptional start site and the A14 translational start site,

5 wherein the first nucleic acid is in a non-essential region of the vaccinia virus genome.

30. A method of vaccinating an individual against smallpox and vaccinia virus comprising:

10 administering to an individual the recombinant vaccinia virus of claim 29 in an amount sufficient to elicit an immune response; and

optionally administering a repressing amount of a drug selected from the group consisting of tetracycline, doxycycline, and minocycline.

31. The method of claim 30, wherein the drug is administered to subjects displaying or at risk of displaying symptoms of viremia.

15 32. The method of claim 30, wherein the individual is immunosuppressed.

33. A recombinant vaccinia virus comprising:

a first nucleic acid comprising a vaccinia virus late promoter and a *tetR* gene, wherein the vaccinia virus late promoter is operably linked to the *tetR* gene; and

20 a second nucleic acid comprising an expression control sequence comprising a tet response element and the PKR gene, wherein the tet response element is operably linked to the PKR gene,

wherein the first and second nucleic acids are in non-essential regions of the vaccinia virus genome.

34. A method of vaccinating an individual against smallpox and vaccinia virus comprising:
- administering to an individual the recombinant vaccinia virus of claim 33 in an amount sufficient to elicit an immune response; and
- 5 optionally administering a repressing amount of a drug selected from the group consisting of tetracycline, doxycycline, and minocycline.
35. The method of claim 34, wherein the drug is administered to subjects displaying or at risk of displaying symptoms of viremia.
36. The method of claim 34, wherein the individual is immunosuppressed.
- 10 37. A recombinant vaccinia virus comprising:
- a first nucleic acid comprising a vaccinia virus early/late promoter and a reverse *tetR* gene, wherein the vaccinia virus early/late promoter is operably linked to the reverse *tetR* gene; and
- a second nucleic acid comprising an expression control sequence
- 15 comprising a tet response element and the PKR gene, wherein the tet response element is operably linked to the PKR gene,
- wherein the first and second nucleic acids are in non-essential regions of the vaccinia virus genome.
38. A method of vaccinating an individual against smallpox and vaccinia virus comprising:
- 20 administering to an individual the recombinant vaccinia virus of claim 37 in an amount sufficient to elicit an immune response; and
- administering a derepressing amount of a drug selected from the group consisting of tetracycline, doxycycline, and minocycline.
- 25 39. The method of claim 38, wherein the individual is immunosuppressed.

40. A recombinant vaccinia virus comprising:

a nucleic acid comprising a vaccinia virus early/late promoter and a UL97 gene, wherein the vaccinia virus early/late promoter is operably linked to the UL97 gene,

5 wherein the nucleic acid is in a non-essential region of the vaccinia virus genome.

41. A recombinant vaccinia virus comprising:

a nucleic acid comprising a vaccinia virus early/late promoter and an acyclovir-sensitivity gene, wherein the vaccinia virus early/late promoter is operably linked to the acyclovir-sensitivity gene,

10

wherein the nucleic acid is in a non-essential region of the vaccinia virus genome.

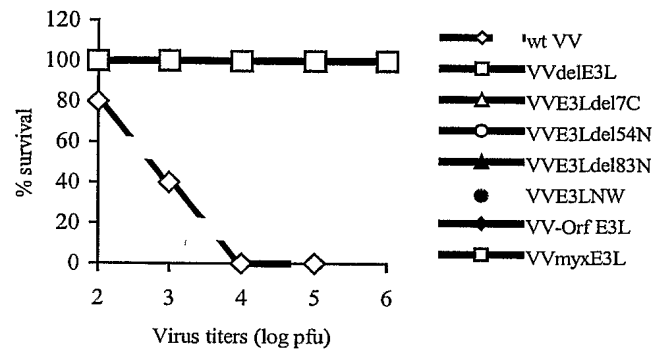


Figure 1

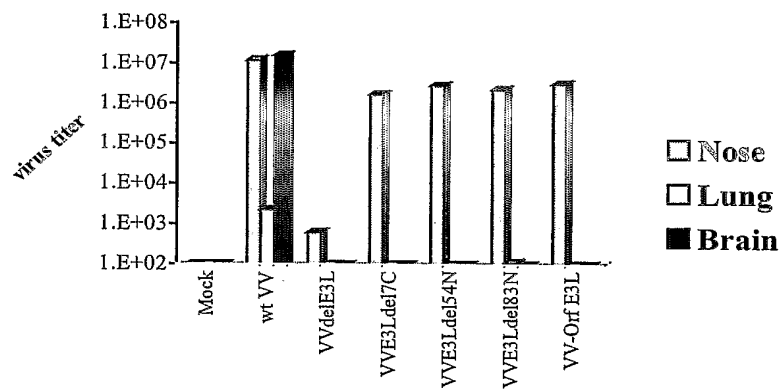


Figure 2

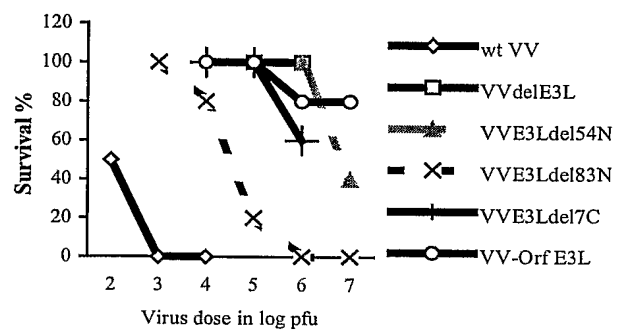


Figure 3

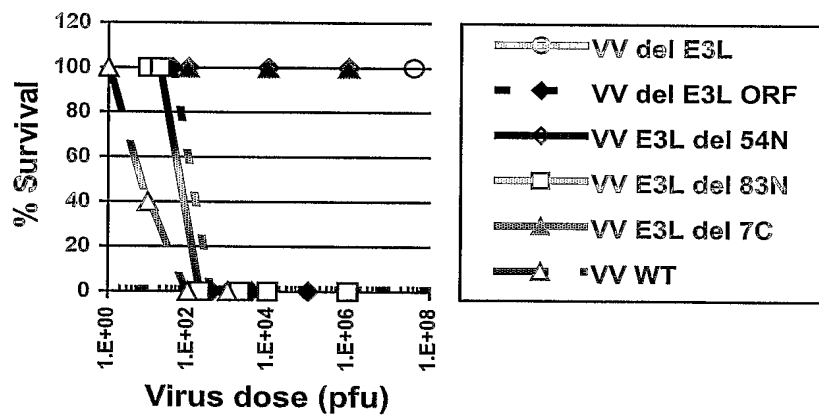


Figure 4

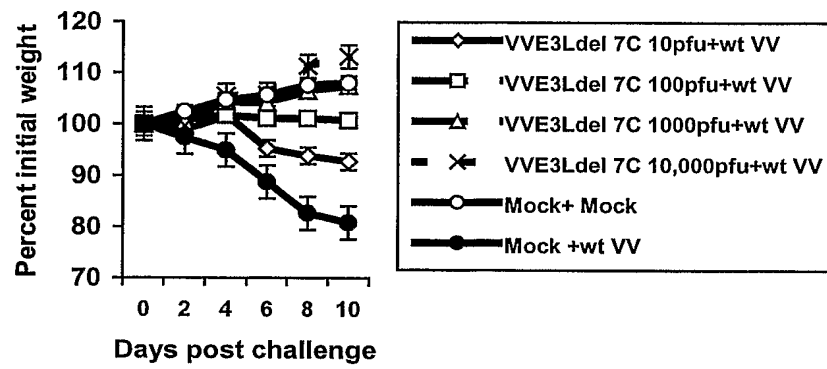


Figure 5

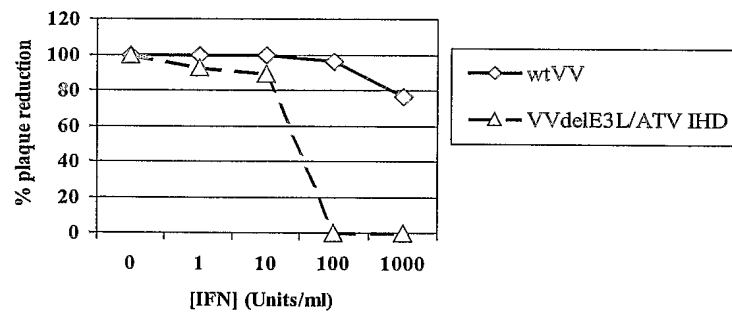


Figure 6

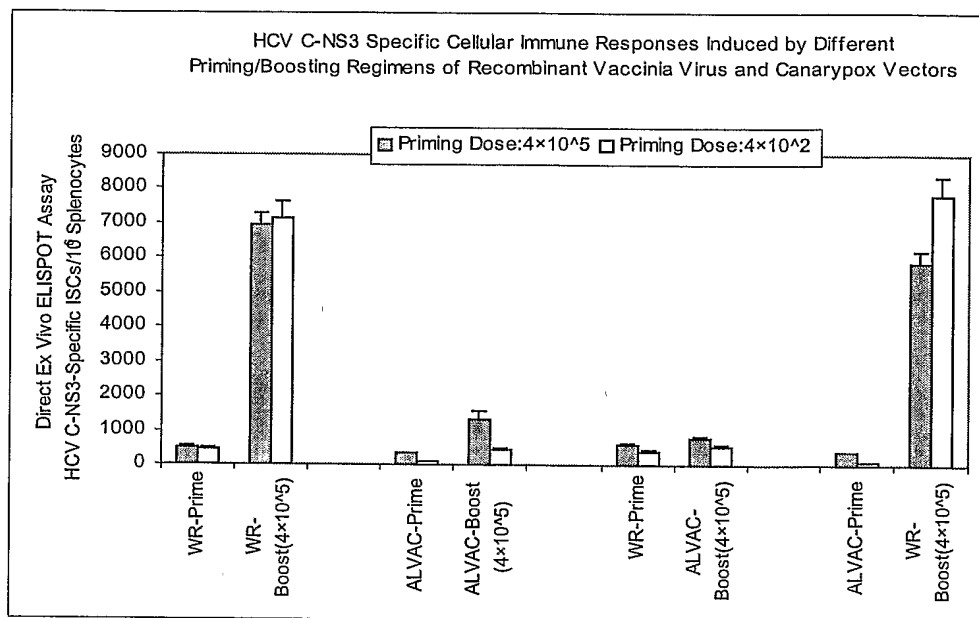


Figure 7

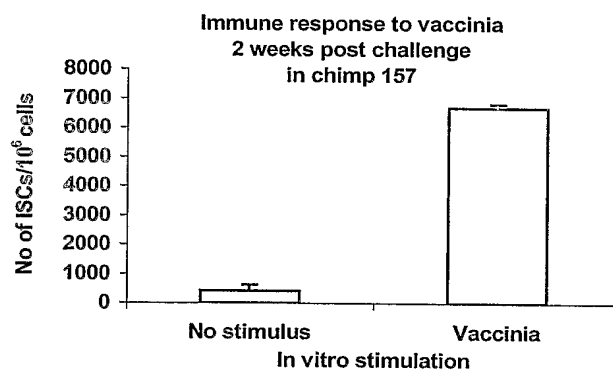
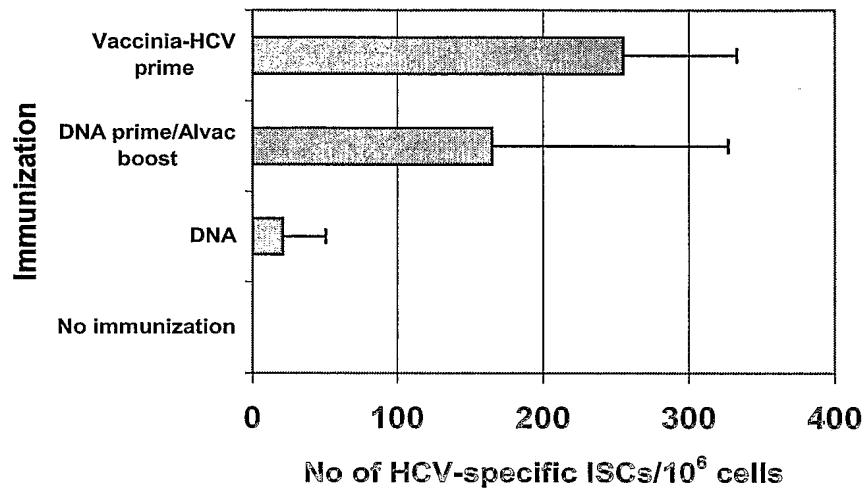


Figure 8

Fig. 9. HCV-specific responses in chimpanzees**Figure 9**

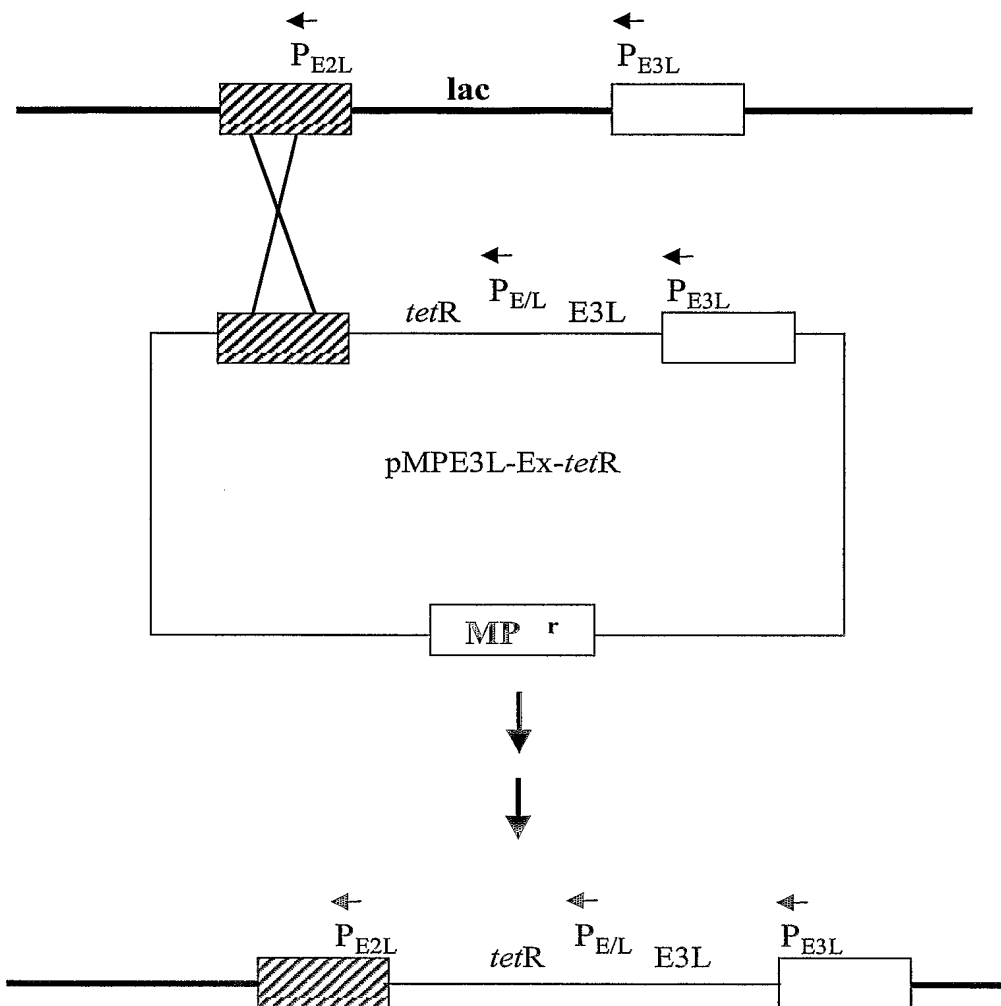


Figure 10